

Practitioner's Docket No. 180/137 PCT/US  
U.S. Application No. 10/501,756

**CHAPTER II**

**IN THE UNITED STATES ELECTED OFFICE (EO/US)**

PCT/US03/01624	17 January 2003 (17.01.03)	18 January 2002 (18.01.02)
International Application No.	International Filing Date	Priority Date Claimed

#3

**GENERATION OF RECOMBINANT ADENO-ASSOCIATED VIRAL VECTORS BY A  
COMPLETE ADENOVIRUS-MEDIATED APPROACH**

**Title of Invention**

Duke University; Li, Chuan-Yuan; Zhang, Xiuwu

Applicant(s)

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
ATTENTION: EO/US

**COMPLETION OF FILING REQUIREMENTS  
FOR INTERNATIONAL APPLICATION ENTERING U.S. NATIONAL STAGE  
IN U.S. ELECTED OFFICE (EO/US) UNDER 35 U.S.C. § 371**

This replies to the Notice of Missing Requirements under 35 U.S.C. § 371 and 37 C.F.R. § 1.495 (FORM PCT/DO/EO/905).

A copy of FORM PCT/DO/EO/905 accompanies this response.

**EXPRESS MAILING UNDER 37 C.F.R. § 1.10\***

*(Express Mail label number is mandatory.)*

*(Express Mail certification is optional)*

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date December 14, 2004 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. EV611690775US.

\_\_\_\_\_  
Stacie H. Boswell

Date: 12-14-04

Stacie H. Boswell  
\_\_\_\_\_  
Signature of person certifying

**WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.**

**\*WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).**

**"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.**

## DECLARATION OR OATH

I. The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

## SEQUENCE LISTING

II. The computer readable form of the Sequence Listing that was filed with the subject application has been found not to comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821-1.825. A substitute computer readable form, a substitute paper Sequence Listing, and a Statement Regarding Identity of Copies of Sequence Listing are enclosed herewith. Please amend the subject application by entering the attached Sequence Listing into the specification of the subject application.

## FEES

III. Surcharge fees

Surcharge for filing the oath or declaration later than thirty months from the priority date pursuant to § 1.495(c):

	\$65.00
<b>Total Fees</b>	<b>\$65.00</b>

## SMALL ENTITY STATUS

IV. An assertion that this filing is by a small entity was made by paying the basic national fee as a small entity.

## EXTENSION OF TIME

V. The proceedings herein are for a patent application. Accordingly, the provisions of 37 C.F.R. § 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## TOTAL FEE DUE

VI. The total fee due is:  
Completion fee(s)

\$65.00

**TOTAL FEE DUE \$65.00**

12/20/2004 SMAJARRO 00000128 500426 10501756

01 FC:2617 65.00 DA

## PAYMENT OF FEES

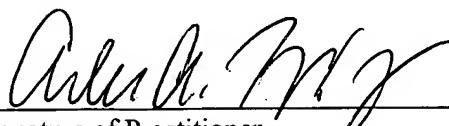
VII.

Authorization is hereby made to charge the amount of \$65.00 to Deposit Account No. 50-0426. Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this request is attached.

Date: 12/14/2004

Reg. No.: 39,395  
Tel. No.: 001-919-493-8000  
Customer No.: 25297

  
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